



# Due Diligence map 2023

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CONEXIONES QUE INSPIRAN



# Due diligence map

Each new project has due diligence, in addition each project in its construction and operation stage carries out a periodic review with the managers and project managers, which are periodically monitored by the presidency committees of each subsidiary.

	Colombia	Peru	Chile	Panama
<b>Vulnerable groups</b>				
Local community	X	X	X	X
Afro community				
Indigenous populations	X	X	X	X
Suppliers	X	X	X	
Employees	X			
<b>Mitigation actions</b>				
Social management	X	X	X	
Social complementary programs	X	X	X	X
Training	X	X	X	X
Declaration of Human Rights	X	X	X	X
Human Rights Diagnostics	X	X	X	X
Contractual clauses	X	X	X	X
Social management under construction	X	X	X	X
Alternatives for the location of lots and access to the lines	X		X	
preventive archaeological	X	X	X	
Forest compensation plan	X	X		
Anticipated engagement with security actors	X			
<b>Mechanisms</b>				
Complaints and claims	X	X	X	X
Social risk workshop	X	X	X	X
Service office	X	X	X	X
Risk analysis in expansion plans	X	X	X	X
Compliance officer	X	X	X	X
Communication mechanisms with project liaisons and authorities	X	X	X	X

**X: Identified risk**

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Risks and impacts	Colombia	Peru	Chile	Panamá
<b>Environmental</b>	<ul style="list-style-type: none"> <li>Increase in biotic offset</li> <li>Monitoring of fauna and flora</li> <li>Relocation of flora and fauna</li> <li>Increase in flora and fauna rescue values</li> <li>Identify key elements derived from the Escazú agreement.</li> </ul>	<ul style="list-style-type: none"> <li>Rescue of flora, fauna and increased reforestation area</li> <li>Extreme weather changes</li> <li>Conservation and recovery of the Misquiyacu-Rumiyacu ecosystem, which makes greater demands on the environmental management strategy.</li> </ul>		
<b>Property: detriment and impact from the use of the land</b>	Increase in areas to be compensated.	<ul style="list-style-type: none"> <li>Absence of property boundaries, which makes property negotiation difficult.</li> <li>High economic expectations in the negotiation of easements.</li> </ul>		
<b>Impact on the archeological and cultural heritage</b>	<ul style="list-style-type: none"> <li>Intervention of territory with cultural use by ethnic communities</li> <li>Archaeological findings.</li> </ul>	<ul style="list-style-type: none"> <li>Archaeological findings.</li> </ul>	<ul style="list-style-type: none"> <li>Archaeological findings</li> <li>Indigenous consultations.</li> </ul>	<ul style="list-style-type: none"> <li>Archaeological findings</li> <li>Presence of Indigenous communities.</li> </ul>
<b>Labor (occupational health and safety)</b>	<ul style="list-style-type: none"> <li>Improper management of labor rights.</li> </ul>			
<b>Fraud and corruption</b>	<ul style="list-style-type: none"> <li>Alteration of information</li> <li>Conflicts of interest</li> <li>Operational or financial noncompliance.</li> </ul>	<ul style="list-style-type: none"> <li>Undue payments through third parties.</li> </ul>	<ul style="list-style-type: none"> <li>Potential for fraud targeting officials facilitating permits at the bid stage.</li> </ul>	<ul style="list-style-type: none"> <li>Possibilities of fraud</li> <li>Manifestation targeting public officials.</li> </ul>
<b>Public order (citizen security, theft, damage, physical aggression, extortion)</b>	<ul style="list-style-type: none"> <li>Social opposition to the project</li> <li>Socio-political risk</li> <li>Presence of illegal armed groups and guerrilla groups such as FARC and ELN</li> <li>Common crime</li> <li>Presence of explosive devices, landmines, etc.</li> </ul>	<ul style="list-style-type: none"> <li>Mobilization and opposition of farmer populations and indigenous peoples</li> <li>Community opposition to the project</li> <li>Social conflict due to the presence of crops bordering on illegality.</li> </ul>		
<b>Social</b>	<ul style="list-style-type: none"> <li>Increase in the number of families to be resettled</li> <li>Presence of drug trafficking</li> <li>Influence of political proselytizing</li> <li>Presence of ethnic communities/Collective territories.</li> </ul>	<ul style="list-style-type: none"> <li>Project shutdown due to mobilization of 25 farmer communities due to access complaints.</li> <li>Land conflict between communities</li> <li>Increased basic needs resulting from the consequences of the El Niño phenomenon</li> <li>High expectations of the community due to the mining influence area.</li> </ul>	<ul style="list-style-type: none"> <li>Impact on viability due to political proselytizing</li> <li>Presence of towns with cultural relevance</li> <li>Relocation of communities.</li> </ul>	<ul style="list-style-type: none"> <li>Lack of agreements with communities</li> <li>Increased social costs due to higher demand for social programs.</li> </ul>
<b>Suppliers</b>	<ul style="list-style-type: none"> <li>Threats to contractor personnel.</li> </ul>	<ul style="list-style-type: none"> <li>Community impact on the integrity of suppliers.</li> </ul>	<ul style="list-style-type: none"> <li>No timely payments to subcontractors</li> <li>Lack of payments to cover labor requirements in subcontractors</li> <li>Economic insolvency.</li> </ul>	